

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re application of

Docket No: Q77201

Takeharu ARAKAWA

Appln. No.: 10/657,100

Group Art Unit: 3661

Confirmation No.: 9856

Examiner: Not Yet Assigned

Filed: September 9, 2003

For: COMMUNICATION TERMINAL UNIT, CONNECTION CONTROL METHOD AND

PROGRAM FOR THE METHOD

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

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GROUP 3600

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith, along with a copy of an Office Action from a foreign Patent Office in connection with a foreign Patent Application that corresponds to the subject US Application.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/657,100

ATTORNEY DOCKET NO. Q77201

on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since such

a Statement can be made in this case, one is submitted herewith.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 23,063

Darry Mexic

SUGHRUE MION, PLLC

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WASHINGTON OFFICE

23373

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Date: January 21, 2004

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#### PATENT APPLICATION

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

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Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Barryl Mex

Registration No. 23,063

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washington office 23373 customer number

Date: January 21, 2004

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## <u>INFORMATION DISCLOSURE</u> STATEMENT BY APPLICANT

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(use as many sheets as necessary)

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Application Number	10/657,100		
Confirmation Number	9856		
Filing Date	September 9, 2003		
First Named Inventor	Takeharu ARAKAWA		
Art Unit	3661		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	Q77201		

U.S. PATENT DOCUMENTS							
	Cite	Document	Number	Publication Date MM-DD-YYYY			
	No.1	Number	Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Documen		
		US 2001-9427	Al	07-26-2001	KANEKO et al.		
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FOREIGN PATENT DOCUMENTS							
1 1	Cite	Fo	reign Patent Docu	ment	Publication Date MM-DD-YYYY	Name of Patentee or	Translation <sup>6</sup>
	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)		Applicant of Cited Document	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.